

PATENT
8002,1001

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of: Koki MATSUBARA et al.

Appl. No.: **NEW NATIONAL PHASE
APPLICATION IN THE
UNITED STATES**

Group:

Filed: March 4, 2002

Examiner:

For: PROCESS FOR THE PREPARATION OF TRICYCLIC AMINO ALCOHOL DERIVATIVES

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, DC 20231

March 4, 2002

Sir:

Prior to the first Official Action and calculation of the filing fee, the following preliminary amendments and remarks are respectfully submitted in connection with the above-identified application.

IN THE ABSTRACT OF THE DISCLOSURE:

Please add the Abstract of the Disclosure attached on a separate sheet attached hereto.

IN THE CLAIMS:

Please add the following claims:

--13. (new) A process for the preparation of a compound of the formula

(1):